

07-18-01

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10966 U.S. PTO

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Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. A01165

First Inventor Ward T. Brown

Title Wear-Resistant Coating Composition and Method...

Express Mail Label No. EF150161652US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 38]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure

4. ☐ Drawing(s) (35 U. S. C. 113) [Total Sheets]

5. Oath or Declaration [Total Pages]

- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
17. ☐ Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part(CIP)

Prior application information:

Examiner

of prior application No.: _____

Group Art Unit

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the continuing or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion of the disclosure is specifically omitted from the submitted application parts.

19 CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

21898
Use Customer Number or Bar Code Label (here)

or ☐ Correspondence address below

Name Wendy A. Choi

Address Rohm and Haas Company

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State PA

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Country USA

Telephone 215-592-6758

Fax 215-592-2682

Name (Print/Type) Wendy A. Choi

Registration No. (Attorney/Agent) 36,697

Signature

Wendy A. Choi

Date July 17, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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07/17/01

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 710)

Complete if Known

Application Number

Filing Date

First Named Inventor

Examiner Name

Group Art Unit

Attorney Docket No.

Herewith

Ward T. Brown

DN A01165

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

18-1850

Deposit
Account
Name

Rohm and Haas Company

- ☒ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

- ☐ Applicant claims small entity status.
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money
Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Fee Fee Fee
Code (\$) Code (\$)

Fee Description	Fee Paid
101 710 201 355 Utility filing fee	710
106 320 206 160 Design filing fee	
107 490 207 245 Plant filing fee	
108 710 208 355 Reissue filing fee	
114 150 214 75 Provisional filing fee	

SUBTOTAL(1) (\$ 710)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
19	-20** = 0	0	0
3	-3** = 0	0	0
Multiple Dependent			0

Large Entity Small Entity

Fee Fee Fee Fee
Code (\$) Code (\$)

Fee Description	Fee Paid
103 18 203 9 Claims in excess of 20	
102 80 202 40 Independent claims in excess of 3	
104 270 204 135 Multiple dependent claim, if not paid	
109 80 209 40 **Reissue independent claims over original patent	
110 18 210 9 **Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$ 0)

**or number previously paid, if greater, For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large
Entity

Small
Entity

Fee Fee Fee Fee
Code (\$) Code (\$)

Fee Description

Fee Paid

105 130 205 65	Surcharge - late filing fee or oath	
127 50 227 25	Surcharge - late provisional filing fee or cover sheet	
139 130 139 130	Non-English specification	
147 2,520 147 2,520	For filing a request for ex parte reexamination	
112 920* 112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840* 113 1,840*	Requesting publication of SIR after Examiner action	
115 110 215 55	Extension for reply within first month	
116 390 216 195	Extension for reply within second month	
117 890 217 445	Extension for reply within third month	
118 1,390 218 695	Extension for reply within fourth month	
128 1,890 228 945	Extension for reply within fifth month	
119 310 219 155	Notice of Appeal	
120 310 220 155	Filing a brief in support of an appeal	
121 270 221 135	Request for oral hearing	
138 1,510 138 1,510	Petition to institute a public use proceeding	
140 110 240 55	Petition to revive - unavoidable	
141 1,240 241 620	Petition to revive - unintentional	
142 1,240 242 620	Utility issue fee (or reissue)	
143 440 243 220	Design issue fee	
144 600 244 300	Plant issue fee	
122 130 122 130	Petitions to the Commissioner	
123 50 123 50	Processing fee under 37 CFR 1.17(q)	
126 180 126 180	Submission of Information Disclosure Stmt	
581 40 581 40	Recording each patent assignment per property (times number of properties)	
146 710 246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710 249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 710 279 355	Request for Continued Examination (RCE)	
169 900 169 900	Request for expedited examination of a design application	

Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Name (Print/Type)

Wendy A. Choi

Registration No.
(Attorney/Agent)

36,697

Complete (if applicable)

Telephone

215-592-6758

Signature

Wendy A. Choi

Date

July 17, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Atty Docket No. A01165

In re application of:
Ward T. Brown

Serial No: : Group Art Unit: Not yet assigned

Filed: : Examiner: Not yet assigned

For: **Wear-Resistant Coating Composition And Method
For Producing A Coating**

Assistant Commissioner of Patents
Box Patent Application
Washington, DC 20231

CERTIFICATE OF EXPRESS MAILING

Sir:

I hereby certify that the following correspondence is being deposited with the U.S. Postal Service as Express Mail with the U.S. Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on the date indicated next to my signature below:

Utility Patent Application Transmittal
Fee Transmittal (in duplicate)
Application
Request For Late Filing Declaration
Postcard

July 17, 2001
Date

Shelley Delaney
Signature

U.S. Postal Service Express Mail No. EF150161652US

PATENT

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Atty Docket No. A01165

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Ward T. Brown

Serial No: : Group Art Unit: Not yet assigned

Filed: : Examiner: Not yet assigned

For: **Wear-Resistant Coating Composition And Method
For Producing A Coating**

Assistant Commissioner of Patents
Washington, DC 20231

REQUEST FOR LATE FILING OF DECLARATION

Sir:

The undersigned Attorney for Applicant requests that a filing date be granted for the accompanying, above-identified application which is not accompanied by an oath or declaration by the inventors, and that said oath or declaration be accepted upon delayed filing, according to 37 CFR 1.53(d).

Respectfully submitted,



Attorney for Applicant
Reg. No. 36,697
Tel. No. (215) 592-6758

Rohm and Haas Company
100 Independence Mall West
Philadelphia, PA 19106-2399

RECEIVED
JUN 20 2000